

T. Bell
1.11.02

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:)
)
Sandhu, et al. NOV 13 2001)
)
Serial No.: 09/627,649) Art Unit
) 2811
Filed: July 28, 2000)
)
For: INTERLEVEL DIELECTRIC STRUCTURE)
)
)
Examiner: Not yet assigned)

INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. § 1.97

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Please find, pursuant to 37 C.F.R. § 1.98(a)(1), the enclosed Form PTO-1449 which contains a list of all patents, publications, or other items that have come to the attention of one or more of the individuals designated in 37 C.F.R. § 1.56(c). While no representation is made that any of these references may be "prior art" within the meaning of that term under 35 U.S.C. §§ 102 or 103, the enclosed list of references is disclosed so as to fully comply with the duty of disclosure set forth in 37 C.F.R. § 1.56.

Moreover, while no representation is made that a specific search of office files or patent office records has been conducted or that no better art exists, the undersigned attorney

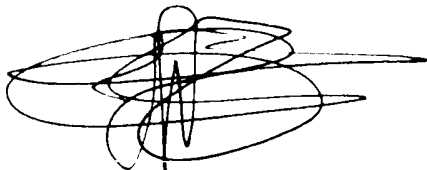
certifies that the inventor(s) have not withheld any information that is material to the patentability of the invention (taken in its entirety) has been knowingly withheld.

United States Patent Documents

<u>Patent Number</u>	<u>Issue Date</u>	<u>Name</u>	<u>Class</u>	<u>Sub Class</u>	<u>Filing Date</u>
6,146,987	11/2000	Wang, et al.	438	618	
6,177,286	1/2001	Chiddambarao, et al.	438	14	

Dated this 13th day of November, 2001.

Respectfully submitted,



Jesús Juanós i Timoneda, Ph. D.
Attorney for Applicant
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TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
11675.76.3

In Re Application Of: Sandhu, et al.

NOV 13 2001

Serial No.
09/627,649

Filing Date
July 28, 2000

Examiner
T. Quach

Group Art Unit
2814

Title: INTERLEVEL DIELECTRIC STRUCTURE

Address to
Assistant Commissioner for Patents
Washington, D.C. 20231

37 CFR 1.97(b)

1. ☐ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2. ☒ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

OR

☒ the fee set forth in 37 CFR 1.17(p).

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
11675.76.3

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Serial No.

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Group Art Unit

2814

INTERLEVEL DIELECTRIC STRUCTURE

Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☒ A check in the amount of SEE PTO-2038 is attached.
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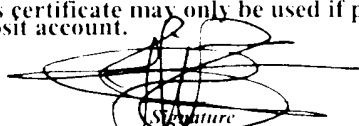
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Dated: November 13, 2001

Jesus Juanos i Timoneda, Ph. D.

Attorney for Applicant

Reg. No. 43,332



022901

CC.

12-10-01

67/2814/8

TRANSMITTAL LETTER
(General - Patent Pending)

Docket No.
11675.76.3

In Re Application Of: Sandhu, et al.

NOV 13 2001

Serial No.
09/627.649

Filing Date
July 28, 2000

Examiner
T. Quach

Group Art Unit
2814

Title: INTERLEVEL DIELECTRIC STRUCTURE


TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Transmitted herewith is:

Transmittal Letter (1 pg. in dup);
Transmittal of IDS (2 pgs.): IDS (2 pgs.);
Information Disclosure Citation (1 pg.): PTO-2038;
Copies of Cited References; Certificate of Express Mailing #EL893520705US;
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in the above identified application.

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Signature

Dated: November 13, 2001

Jesus Juanos i Timoneda, Ph. D.
Attorney for Applicant
Reg. No. 43,332



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CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)

Applicant(s): Sandhu, et al.

Docket No.

11675.76.3

Serial No.

09/627,649

Filing Date

July 28, 2000

Examiner

T. Quach

Group Art Unit

2814

Invention: INTERLEVEL DIELECTRIC STRUCTURE

I hereby certify that the following correspondence:

Information Disclosure Statement and related documents.

(Identify type of correspondence)

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: The Assistant Commissioner for Patents, Washington, D.C. 20231 on

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PATENT TRADEMARK OFFICE

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